

# Extreme Values of $|\zeta(1 + it)|$

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*Dedicated to Professor K. Ramachandra on his 70th birthday*

## 1 Introduction

Improving on a result of J.E. Littlewood, N. Levinson [3] showed that there are arbitrarily large  $t$  for which  $|\zeta(1 + it)| \geq e^\gamma \log_2 t + O(1)$ . (Throughout  $\zeta(s)$  is the Riemann-zeta function, and  $\log_j$  denotes the  $j$ -th iterated logarithm, so that  $\log_1 n = \log n$  and  $\log_j n = \log(\log_{j-1} n)$  for each  $j \geq 2$ .) The best upper bound known is Vinogradov's  $|\zeta(1 + it)| \ll (\log t)^{2/3}$ .

Littlewood had shown that  $|\zeta(1 + it)| \lesssim 2e^\gamma \log_2 t$  assuming the Riemann Hypothesis, in fact by showing that the value of  $|\zeta(1 + it)|$  could be closely approximated by its Euler product for primes up to  $\log^2(2 + |t|)$  under this assumption. Under the further hypothesis that the Euler product up to  $\log(2 + |t|)$  still serves as a good approximation, Littlewood conjectured that  $\max_{|t| \leq T} |\zeta(1 + it)| \sim e^\gamma \log_2 T$ , though later he wrote in [5] (in connection with a  $q$ -analogue): “*there is perhaps no good reason for believing ... this hypothesis*”.

Our Theorem 1 evaluates the frequency with which such extreme values are attained; and if this density function were to persist to the end of the viable range then this implies the conjecture that

$$\max_{t \in [T, 2T]} |\zeta(1 + it)| = e^\gamma (\log_2 T + \log_3 T + C_1 + o(1)), \quad (1)$$

for some constant  $C_1$ . In fact it may be that  $C_1 = C + 1 - \log 2$ , where

$$C = \int_0^2 \log I_0(t) \frac{dt}{t^2} + \int_2^\infty (\log I_0(t) - t) \frac{dt}{t^2} = -.3953997,$$

and  $I_0(t) := \mathbb{E}(e^{\operatorname{Re}(tX)}) = \sum_{n=0}^\infty (t/2)^{2n}/n!^2$  is the Bessel function (with  $X$  a random variable equidistributed on the unit circle). In Theorem 2 we show that there are

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arbitrarily large  $t$  for which  $|\zeta(1+it)| \geq e^\gamma(\log_2 t + \log_3 t - \log_4 t + O(1))$ , which improves upon Levinson's result but falls a little short of our conjecture.

Levinson also showed that  $1/|\zeta(1+it)| \geq \frac{6e^\gamma}{\pi^2}(\log_2 t - \log_3 t + O(1))$  for arbitrarily large  $t$ . Theorem 1 exhibits even smaller values of  $|\zeta(1+it)|$  and determines their frequency. Extrapolating Theorem 1 we are also led to conjecture that

$$\max_{t \in [T, 2T]} 1/|\zeta(1+it)| = \frac{6e^\gamma}{\pi^2}(\log_2 T + \log_3 T + C_1 + o(1));$$

but only succeed in proving that  $1/|\zeta(1+it)| \geq \frac{6e^\gamma}{\pi^2}(\log_2 t - O(1))$  for arbitrarily large  $t$ . K. Ramachandra [6] has obtained results analogous to Levinson's in short intervals, and R. Balasubramanian, Ramachandra and A. Sankaranarayanan [1] have considered extreme values of  $|\zeta(1+it)|^{e^{i\theta}}$  for any  $\theta \in [0, 2\pi)$ .

To be more precise let us define, for  $T, \tau \geq 1$ ,

$$\begin{aligned} \Phi_T(\tau) &:= \frac{1}{T} \text{meas} \{t \in [T, 2T] : |\zeta(1+it)| > e^\gamma \tau\}, \\ \text{and } \Psi_T(\tau) &:= \frac{1}{T} \text{meas} \left\{ t \in [T, 2T] : |\zeta(1+it)| < \frac{\pi^2}{6e^\gamma \tau} \right\}. \end{aligned}$$

**Theorem 1.** *Let  $T$  be large. Uniformly in the range  $1 \ll \tau \leq \log_2 T - 20$  we have*

$$\Phi_T(\tau) = \exp \left( -\frac{2e^{\tau-C-1}}{\tau} \left( 1 + O \left( \frac{1}{\tau^{\frac{1}{2}}} + \left( \frac{e^\tau}{\log T} \right)^{\frac{1}{2}} \right) \right) \right),$$

where  $c$  is a positive constant. The same asymptotic also holds for  $\Psi_T(\tau)$ .

With a judicious application of the pigeonhole principle we can exhibit even larger values of  $|\zeta(1+it)|$ , indeed of almost the same quality as the conjectured 1.

**Theorem 2.** *For large  $T$  the subset of points  $t \in [0, T]$  such that*

$$|\zeta(1+it)| \geq e^\gamma (\log_2 T + \log_3 T - \log_4 T - \log A + O(1))$$

has measure at least  $T^{1-\frac{1}{A}}$ , uniformly for any  $A \geq 10$ .

One can also establish results analogous to Theorems 1 and 2 for the distribution of values of  $|L(1, \chi)|$  where  $\chi$  ranges over all non-trivial characters modulo a large prime  $p$  (see section 7 for further details). In fact Theorems 1 and 2 hold almost verbatim, just changing  $T$  to  $p$ . If one also averages over  $p$  in a dyadic interval  $P \leq p \leq 2P$  then one can obtain asymptotics for the distribution function in the wider range  $1 \ll \tau \leq \log_2 P + \log_3 P - O(1)$  (which we expect is the full range, up to the explicit value of the " $O(1)$ ").

As in [2] we can compare the distribution of  $\zeta(1+it)$  with that of an appropriate probabilistic model. Let  $X(p)$  denote independent random variables uniformly distributed on the unit circle, for each prime  $p$ . We extend  $X$  multiplicatively to all integers  $n$ : that is set  $X(n) = \prod_{p^\alpha \parallel n} X(p)^\alpha$ . We wish to compare the distribution

of values of  $\zeta(1 + it)$  with the distribution of values of the random Euler products  $L(1, X) := \prod_p (1 - X(p)/p)^{-1}$  (these products converge with probability 1). Now define

$$\Phi(\tau) = \text{Prob}(|L(1, X)| \geq e^\gamma \tau) \text{ and } \Psi(\tau) = \text{Prob}\left(|L(1, X)| \leq \frac{\pi^2}{6e^\gamma \tau}\right).$$

By the same methods one can show that  $\Phi(\tau)$  and  $\Psi(\tau)$  satisfy the same asymptotic as  $\Phi_T(\tau)$  as in Theorem 1, but for arbitrary  $\tau$  (see the remarks immediately after the proof of Theorem 1).

## 2 Preliminaries

We collect here some standard facts on  $\zeta(s)$  which will be used later.

**Lemma 1.** *Let  $y \geq 2$  and  $|t| \geq y + 3$  be real numbers. Let  $\frac{1}{2} \leq \sigma_0 < 1$  and suppose that the rectangle  $\{z : \sigma_0 < \text{Re}(z) \leq 1, |\text{Im}(z) - t| \leq y + 2\}$  is free of zeros of  $\zeta(z)$ . Then for any  $\sigma_0 < \sigma \leq 2$  and  $|\xi - t| \leq y$  we have*

$$|\log \zeta(\sigma + i\xi)| \ll \log |t| \log(e/(\sigma - \sigma_0)).$$

Further for  $\sigma_0 < \sigma \leq 1$  we have

$$\log \zeta(\sigma + it) = \sum_{n=2}^y \frac{\Lambda(n)}{n^{\sigma+it} \log n} + O\left(\frac{\log |t|}{(\sigma_1 - \sigma_0)^2} y^{\sigma_1 - \sigma}\right),$$

where we put  $\sigma_1 = \min\left(\sigma_0 + \frac{1}{\log y}, \frac{\sigma + \sigma_0}{2}\right)$ .

**Proof:** The first assertion follows from Theorem 9.6(B) of Titchmarsh [8]. In proving the second assertion we may plainly suppose that  $y \in \mathbb{Z} + \frac{1}{2}$ . Then Perron's formula gives, with  $c = 1 - \sigma + \frac{1}{\log y}$ ,

$$\begin{aligned} \frac{1}{2\pi i} \int_{c-iy}^{c+iy} \log \zeta(\sigma + it + w) \frac{y^w}{w} dw &= \sum_{n=2}^y \frac{\Lambda(n)}{n^{\sigma+it} \log n} + O\left(\frac{1}{y} \sum_{n=1}^{\infty} \frac{y^c}{n^{\sigma+c}} \frac{1}{|\log(y/n)|}\right) \\ &= \sum_{n=2}^y \frac{\Lambda(n)}{n^{\sigma+it} \log n} + O(y^{-\sigma} \log y). \end{aligned} \tag{3}$$

We now move the line of integration to the line  $\text{Re}(w) = \sigma_1 - \sigma < 0$ . Our hypothesis ensures that the integrand is regular over the region where the line is moved, except for a simple pole at  $w = 0$  which leaves the residue  $\log \zeta(\sigma + it)$ . Thus the left side of (3) equals  $\log \zeta(\sigma + it)$  plus

$$\frac{1}{2\pi i} \left( \int_{c-iy}^{\sigma_1 - \sigma - iy} + \int_{\sigma_1 - \sigma - iy}^{\sigma_1 - \sigma + iy} + \int_{\sigma_1 - \sigma + iy}^{c+iy} \right) \log \zeta(\sigma + it + w) \frac{y^w}{w} dw \ll \frac{\log |t|}{(\sigma_1 - \sigma_0)^2} y^{\sigma_1 - \sigma},$$

upon using the first part of the Lemma. □

Using Lemma 1 we shall show that most of the time we may approximate  $\zeta(s)$  by a short Euler product.

**Lemma 2.** *Let  $\frac{1}{2} < \sigma \leq 1$  be fixed and let  $T$  be large. Let  $T/2 \geq y \geq 3$  be a real number. The asymptotic*

$$\log \zeta(\sigma + it) = \sum_{n=2}^y \frac{\Lambda(n)}{n^{\sigma+it} \log n} + O\left(y^{(\frac{1}{2}-\sigma)/2} \log^3 T\right)$$

holds for all  $t \in (T, 2T)$  except for a set of measure  $\ll T^{5/4-\sigma/2} y (\log T)^5$ .

**Proof:** This follows upon using the zero-density result  $N(\sigma_0, T) \ll T^{3/2-\sigma_0} (\log T)^5$  (see Theorem 9.19 A of [8]) and appealing to Lemma 1 (taking  $\sigma_0 = (1/2 + \sigma)/2$  there).  $\square$

### 3 Approximating $\zeta(1+it)$ by a short Euler product

**Lemma 3.** *Suppose  $2 \leq y \leq z$  are real numbers. Then for arbitrary complex numbers  $x(p)$  we have*

$$\frac{1}{T} \int_T^{2T} \left| \sum_{y \leq p \leq z} \frac{x(p)}{p^{it}} \right|^{2k} dt \ll \left( k \sum_{y \leq p \leq z} |x(p)|^2 \right)^k + T^{-\frac{2}{3}} \left( \sum_{y \leq p \leq z} |x(p)| \right)^{2k}$$

for all integers  $1 \leq k \leq \log T / (3 \log z)$ .

**Proof:** The quantity we seek to estimate is

$$\sum_{\substack{p_1, \dots, p_k \\ y \leq p_j \leq z}} \sum_{\substack{q_1, \dots, q_k \\ y \leq q_j \leq z}} \overline{x(p_1) \cdots x(p_k)} x(q_1) \cdots x(q_k) \frac{1}{T} \int_T^{2T} \left( \frac{p_1 \cdots p_k}{q_1 \cdots q_k} \right)^{it} dt.$$

The diagonal terms  $p_1 \cdots p_k = q_1 \cdots q_k$  contribute

$$\ll k! \left( \sum_{y \leq p \leq z} |x(p)|^2 \right)^k.$$

If  $p_1 \cdots p_k \neq q_1 \cdots q_k$  then as both quantities are below  $z^k \leq T^{\frac{1}{3}}$  we have that

$$\frac{1}{T} \int_T^{2T} \left( \frac{p_1 \cdots p_k}{q_1 \cdots q_k} \right)^{it} dt \ll \frac{1}{T |\log(p_1 \cdots p_k / q_1 \cdots q_k)|} \ll T^{-\frac{2}{3}}.$$

Hence the off diagonal terms contribute  $\ll T^{-\frac{2}{3}} (\sum_{y \leq p \leq z} |x(p)|)^{2k}$ , proving the Lemma.  $\square$

Define  $\zeta(s; y) := \prod_{p \leq y} (1 - p^{-s})^{-1}$ .

**Proposition 1.** *Let  $T$  be large and let  $\log T(\log_2 T)^4 \geq y \geq e^2 \log T$  be a real number. Then*

$$\zeta(1 + it) = \zeta(1 + it; y) \left( 1 + O\left(\frac{\sqrt{\log T}}{\sqrt{y} \log_2 T}\right) \right)$$

for all  $t \in (T, 2T)$  except for a set of measure at most  $T \exp(-\log T/50 \log_2 T)$ .

**Proof:** Setting  $z = (\log T)^{100}$  we deduce from Lemma 2 that  $\zeta(1 + it) = \zeta(1 + it; z)(1 + O(1/\log T))$  for all  $t \in (T, 2T)$  except for a set of measure at most  $T^{4/5}$ . Using Lemma 3 with  $k = \lceil \log T/(300 \log_2 T) \rceil$  and  $x(p) = 1/p$  we get that

$$\begin{aligned} \frac{1}{T} \int_T^{2T} \left| \sum_{y \leq p \leq z} \frac{1}{p^{1+it}} \right|^{2k} dt &\ll \left( k \sum_{y \leq p \leq z} \frac{1}{p^2} \right)^k + T^{-\frac{2}{3}} \left( \sum_{y \leq p \leq z} \frac{1}{p} \right)^{2k} \\ &\ll \left( \frac{\log T}{y} \right)^k \left( \frac{1}{10 \log y} \right)^{2k} + T^{-\frac{1}{3}}, \end{aligned}$$

and so

$$\left| \sum_{y \leq p \leq z} \frac{1}{p^{1+it}} \right| \leq \frac{\sqrt{\log T}}{\sqrt{y} \log y}$$

for all  $t \in [T, 2T]$  except for a set of measure  $\leq T \exp(-\log T/49 \log_2 T)$ . The Proposition thus follows, by combining the above estimates, since

$$\zeta(1 + it; y) = \zeta(1 + it; z) \exp \left( - \sum_{y \leq p \leq z} \left( \frac{1}{p^{1+it}} + O\left(\frac{1}{p^2}\right) \right) \right).$$

□

## 4 Moments of short Euler products

In this section we show how to evaluate large moments of the short Euler products obtained in §3. Below, for any complex number  $z$ ,  $d_z(n)$  will denote the  $z$ -th divisor function. That is,  $d_z(n)$  is the  $n$ -th Dirichlet series coefficient of  $\zeta(s)^z$ .

**Theorem 3.** *Let  $\log T(\log_2 T)^4 \geq y \geq e^2 \log T$  be a real number. Let  $z = \delta k$  where  $\delta = \pm 1$  and  $2 \leq k \leq \log T/(e^{10} \log(y/\log T))$  is an integer. Then*

$$\frac{1}{T} \int_T^{2T} |\zeta(1 + it; y)|^{2z} dt = \sum_{\substack{n=1 \\ p|n \implies p \leq y}}^{\infty} \frac{d_z(n)^2}{n^2} \left( 1 + O\left(\exp\left(-\frac{\log T}{2(\log_2 T)^4}\right)\right) \right)$$

$$= \prod_{p \leq k} \left( 1 - \frac{\delta}{p} \right)^{-2k\delta} \exp \left( \frac{2k}{\log k} \left( C + O \left( \frac{k}{y} + \frac{1}{\log k} \right) \right) \right).$$

Throughout this section let  $z, y, k, \delta$  be as in Theorem 3. If  $k \leq 10^6$  then we divide  $[1, y]$  into the intervals  $I_0 = [k, y]$  and  $I_1 = [1, k]$  and take here  $J := 1$ . If  $k > 10^6$  then we define  $J := [4 \log_2 k / \log 2] + 1$  and divide  $[1, y]$  into the  $J+1$ -intervals  $I_0 = [k, y]$ ,  $I_j = [k/2^j, k/2^{j-1})$  for  $1 \leq j \leq J-1$ , and  $I_J = [1, k/2^J] \subset [1, k/(\log k)^4]$ . Given a subset  $R$  of the index set  $\{0, 1, \dots, J\}$  we define  $\mathcal{S}(R)$  to be the set of integers  $n$  whose prime factors all lie in  $\cup_{r \in R} I_r$ . We also define

$$\zeta(s; R) := \prod_{p \in \cup_{r \in R} I_r} \left( 1 - \frac{1}{p^s} \right)^{-1} = \sum_{n \in \mathcal{S}(R)} \frac{1}{n^s}.$$

**Proposition 2.** *Let  $R$  be any subset of  $\{0, \dots, J\}$ . Then we have that*

$$\frac{1}{T} \int_T^{2T} |\zeta(1+it; R)|^{2z} dt = \sum_{n \in \mathcal{S}(R)} \frac{d_z(n)^2}{n^2} \left( 1 + O \left( \exp \left( -\frac{\log T}{2(\log_2 T)^4} \right) \right) \right).$$

Note that the first part of Theorem 3 follows from the case  $R = \{0, 1, \dots, J\}$ . While this is the case of interest for us, the formulation of Proposition 2 is convenient for our proof which is based on induction on the cardinality of  $R$ .

**Lemma 4.** *For any prime  $p$  we have*

$$\sum_{a=0}^{\infty} \frac{d_z(p^a)^2}{p^{2a}} = I_0 \left( \frac{2k}{p} \right) \exp(O(k/p^2)),$$

where  $I_0$  denotes the  $I$ -Bessel function. Also

$$\left( 1 - \frac{\delta}{p} \right)^{-2k\delta} \geq \sum_{a=0}^{\infty} \frac{d_z(p^a)^2}{p^{2a}} \geq \frac{1}{50} \min \left( 1, \frac{p}{k} \right) \left( 1 - \frac{\delta}{p} \right)^{-2k\delta},$$

so that if  $\mathcal{P}$  is any subset of the primes  $\leq y$  then, uniformly,

$$\sum_{\substack{n \geq 1 \\ p|n \Rightarrow p \in \mathcal{P}}} \frac{d_z(n)^2}{n^2} \geq T^{O(1/\log_2 T)} \prod_{p \in \mathcal{P}} \left( 1 - \frac{\delta}{p} \right)^{-2k\delta}.$$

**Proof:** Since

$$\sum_{a=0}^{\infty} \frac{d_z(p^a)^2}{p^{2a}} = \int_0^1 \left| 1 - \frac{e(\theta)}{p} \right|^{-2z} d\theta = \int_0^1 \exp(O(k/p^2)) \exp \left( 2 \frac{z}{p} \cos(2\pi\theta) \right) d\theta$$

we obtain the first assertion. The upper bound in the second statement follows since  $|1 - e(\theta)/p|^{-\delta} \leq (1 - \delta/p)^{-\delta}$ . When  $p > k$  we have that  $(1 - \delta/p)^{-2k\delta} \leq$

$(1 - 1/\max(2, k))^{-2k} \leq 16$  and so the lower bound follows in this case. When  $p \leq k$  consider only  $\theta$  such that  $e(\theta)$  lies on the arc  $(\delta e^{-ip/(10k)}, \delta e^{ip/(10k)})$ . For such  $\theta$  we may check that  $|1 - e(\theta)/p|^{-2k\delta} \geq (1 - \delta/p)^{-2k\delta} (1 - 1/(25k))^k \geq \frac{4}{5}(1 - \delta/p)^{-2k\delta}$  from which the lower bound in this case follows.

Now

$$\prod_{\substack{k < p \leq y \\ p \in \mathcal{P}}} \left(1 - \frac{\delta}{p}\right)^{-2k\delta} \leq \exp\left(O\left(\sum_{k < p \leq y} \frac{k}{p}\right)\right) \ll \left(\frac{\log y}{\log k}\right)^{O(k)} \ll T^{O(1/\log_2 T)},$$

and

$$\sum_{\substack{n \geq 1 \\ p|n \implies p \in \mathcal{P}}} \frac{d_z(n)^2}{n^2} > \sum_{\substack{n \geq 1 \\ p|n \implies p \leq k \text{ and } p \in \mathcal{P}}} \frac{d_z(n)^2}{n^2} \geq \prod_{\substack{p \leq k \\ p \in \mathcal{P}}} \frac{p}{50k} \left(1 - \frac{\delta}{p}\right)^{-2k\delta},$$

which together imply the third assertion by the prime number theorem.  $\square$

**Lemma 5.** *Suppose  $0 \leq r \leq J$  and put  $M_0 := T^{\frac{1}{5}}$  and  $M_r = T^{\frac{1}{5r^2}}$  for  $r \geq 1$ . Then we have that*

$$\sum_{\substack{m \in \mathcal{S}(\{r\}) \\ m \geq M_r}} \frac{2^{\omega(m)}}{m} \sum_{\ell \in \mathcal{S}(\{r\})} \frac{|d_z(m\ell)d_z(\ell)|}{\ell^2} \leq \left(\sum_{\ell \in \mathcal{S}(\{r\})} \frac{d_z(\ell)^2}{\ell^2}\right) \exp\left(-\frac{\log T}{(\log_2 T)^4}\right).$$

**Proof:** Denote the left side of the estimate in Lemma 5 by  $N_r$  and let

$$D_r = \sum_{\ell \in \mathcal{C}(\{r\})} \frac{d_z(\ell)^2}{\ell^2}.$$

For any  $1 \geq \alpha > 0$  we have

$$\begin{aligned} N_r &\leq M_r^{-\alpha} \sum_{m \in \mathcal{C}(\{r\})} \frac{2^{\omega(m)}}{m^{1-\alpha}} \sum_{\ell \in \mathcal{C}(\{r\})} \frac{|d_z(m\ell)d_z(\ell)|}{\ell^2} \\ &= M_r^{-\alpha} \prod_{p \in I_r} \left( \sum_{a=0}^{\infty} \frac{|d_z(p^a)|^2}{p^{2a}} + 2 \sum_{u=1}^{\infty} \frac{1}{p^{u(1-\alpha)}} \sum_{a=0}^{\infty} \frac{|d_z(p^a)d_z(p^{u+a})|}{p^{2a}} \right). \end{aligned} \quad (4)$$

We record two bounds for the  $p$ th term of the product in (4): Firstly

$$\begin{aligned} \sum_{a=0}^{\infty} \frac{|d_z(p^a)|^2}{p^{2a}} + 2 \sum_{u=1}^{\infty} \frac{1}{p^{u(1-\alpha)}} \sum_{a=0}^{\infty} \frac{|d_z(p^a)d_z(p^{u+a})|}{p^{2a}} &\leq 2 \sum_{a=0}^{\infty} \frac{|d_z(p^a)|}{p^{a(1+\alpha)}} \sum_{u=-a}^{\infty} \frac{|d_z(p^{u+a})|}{p^{(u+a)(1-\alpha)}} \\ &= 2 \left(1 - \frac{\delta}{p^{1-\alpha}}\right)^{-\delta k} \left(1 - \frac{\delta}{p^{1+\alpha}}\right)^{-\delta k}. \end{aligned} \quad (5)$$

Secondly, since  $|d_z(p^{u+a})| \leq |d_z(p^a)||d_z(p^u)|$ ,

$$\begin{aligned} \sum_{a=0}^{\infty} \frac{|d_z(p^a)|^2}{p^{2a}} + 2 \sum_{u=1}^{\infty} \frac{1}{p^{u(1-\alpha)}} \sum_{a=0}^{\infty} \frac{|d_z(p^a)d_z(p^{u+a})|}{p^{2a}} \\ \leq \sum_{a=0}^{\infty} \frac{|d_z(p^a)|^2}{p^{2a}} \left( 1 + 2 \sum_{u=1}^{\infty} \frac{|d_z(p^u)|}{p^{u(1-\alpha)}} \right) \\ \leq \sum_{a=0}^{\infty} \frac{|d_z(p^a)|^2}{p^{2a}} \left( 2 \left( 1 - \frac{\delta}{p^{1-\alpha}} \right)^{-\delta k} - 1 \right). \end{aligned} \quad (6)$$

Now consider the case  $r = 0$  and note that  $k \leq p$  for all  $p \in I_0$ . Here we use the bound (6) in (4). We choose  $\alpha = 1/(10 \log_2 T)$  and note that for  $p \in I_0$ ,  $2(1 - \delta/p^{1-\alpha})^{-\delta k} - 1 \leq 2(1 - e^{1/9}/p)^{-k} - 1 \leq e^{4k/p}$ . Hence we get that

$$\begin{aligned} N_0 &\leq D_0 \exp \left( -\frac{\log M_0}{10 \log_2 T} + 4k \sum_{k \leq p \leq y} \frac{1}{p} \right) \\ &\leq D_0 \exp \left( -\frac{\log M_0}{10 \log_2 T} + \frac{4k}{\log k} \sum_{k \leq p \leq y} \frac{\log p}{p} \right). \end{aligned}$$

Now  $\sum_{k \leq p \leq y} \log p/p \leq \log(25y/k)$  (see Theorem I.1.7 of Tenenbaum [7]) and recall that  $k \leq \log T/(e^{10} \log(y/\log T))$  and that  $M_0 = T^{1/5}$ . The bound in the lemma then follows in this case.

Suppose now that  $r \geq 1$  so that  $p \leq k$  for all  $p \in I_r$ . Here we use the bound (5) in (4). We take  $\alpha = 1/(10 \cdot 2^{r/2} \log(ek))$  and note that for  $p \leq k$ ,

$$\begin{aligned} \left( 1 - \frac{\delta}{p^{1-\alpha}} \right)^{-\delta} \left( 1 - \frac{\delta}{p^{1+\alpha}} \right)^{-\delta} \left( 1 - \frac{\delta}{p} \right)^{2\delta} &\leq \left( 1 - \frac{p(p^\alpha + p^{-\alpha} - 2)}{(p-1)^2} \right)^{-1} \\ &\leq \exp \left( \frac{\log^2 p}{10 \cdot 2^r p \log^2(ek)} \right). \end{aligned}$$

Using also the lower bound in Lemma 4 we obtain that

$$N_r \leq D_r \exp \left( -\frac{\log M_r}{10 \cdot 2^{r/2} \log(ek)} + \sum_{p \in I_r} \left( \log \frac{100k}{p} + \frac{k \log p}{10 \cdot 2^r p \log(ek)} \right) \right). \quad (7)$$

If  $1 \leq r \leq J-1$  then we deduce that

$$\begin{aligned} N_r &\leq D_r \exp \left( -\frac{\log M_r}{10 \cdot 2^{r/2} \log(ek)} + \sum_{k/2^r \leq p \leq k/2^{r-1}} (r+5) \right) \\ &\leq D_r \exp \left( -\frac{\log M_r}{10 \cdot 2^{r/2} \log(ek)} + \frac{8(r+5)k}{2^r \log(ek)} \right) \end{aligned}$$



and since  $\log M_r = (\log T)/(5r^2)$  this gives  $N_r \leq D_r \exp(-\log T/(\log_2 T)^4)$  for large  $T$ . If  $r = J$  and  $k \leq 10^6$  then the Lemma follows at once from (7). If  $r = J$  and  $k > 10^6$  then (7) gives that

$$\begin{aligned} N_r &\leq D_r \exp \left( -\frac{\log M_J}{10 \cdot 2^{J/2} \log(ek)} + \sum_{p \leq k/(\log k)^4} \left( \log \frac{100k}{p} + \frac{k \log p}{10 \cdot 2^J p \log(ek)} \right) \right) \\ &\leq D_r \exp \left( -\frac{\log M_J}{10 \cdot 2^{J/2} \log(ek)} + O \left( \frac{\log T}{(\log_2 T)^4} \right) \right), \end{aligned}$$

which proves the Lemma in this case.  $\square$

**Proof of Proposition 2 :** We prove Proposition 2 by induction on the cardinality of  $R$ . The case when  $R = \emptyset$  is clear and suppose the Proposition holds for all proper subsets of  $R$ . We expand

$$|\zeta(1 + it; R)|^{2z} = \sum_{\substack{m_r, n_r \in \mathcal{S}(\{r\}) \\ \text{for all } r \in R}} \prod_{r \in R} \left( \frac{d_z(m_r) d_z(n_r)}{m_r n_r} \right) \left( \frac{\prod_{r \in R} m_r}{\prod_{r \in R} n_r} \right)^{it}.$$

Set  $u_r = m_r n_r / (m_r, n_r)^2$ . Using inclusion-exclusion we decompose the above as

$$\sum_{\substack{b m_r, n_r \in \mathcal{S}(\{r\}), \text{ and} \\ u_r \leq M_r \text{ for all } r \in R}} + \sum_{\substack{W \subset R \\ W \neq \emptyset}} (-1)^{|W|-1} \sum_{\substack{m_r, n_r \in \mathcal{S}(\{r\}) \\ \text{for all } r \in R, \text{ and} \\ u_w > M_w \text{ for all } w \in W}} \quad (8)$$

with  $M_w$  as in Lemma 5.

First let us consider the contribution of the first sum in (8). This gives

$$\sum_{\substack{m_r, n_r \in \mathcal{S}(\{r\}), \text{ and} \\ u_r \leq M_r \text{ for all } r \in R}} \prod_{r \in R} \left( \frac{d_z(m_r) d_z(n_r)}{m_r n_r} \right) \frac{1}{T} \int_T^{2T} \left( \prod_{r \in R} \frac{m_r}{n_r} \right)^{it} dt. \quad (9)$$

If we reduce  $\prod_{r \in R} m_r/n_r$  to lowest terms then both the numerator and denominator would be bounded by  $\prod_r u_r \leq \prod_{r \in R} M_r \leq T^{\frac{(1+\pi^2/6)}{5}} \leq T^{\frac{3}{5}}$ . Thus if  $\prod_{r \in R} m_r/n_r \neq 1$  then

$$\frac{1}{T} \int_T^{2T} \left( \frac{\prod_{r \in R} m_r}{\prod_{r \in R} n_r} \right)^{it} dt \ll \frac{1}{T |\log \prod_r m_r/n_r|} \ll T^{-\frac{2}{5}}.$$

Hence we obtain that the expression in (9) equals

$$\sum_{\substack{m_r = n_r \in \mathcal{S}(\{r\}) \\ \text{for all } r \in R}} \prod_{r \in R} \left( \frac{d_z(m_r)}{m_r} \right)^2 + O \left( T^{-\frac{2}{5}} \sum_{\substack{m_r, n_r \in \mathcal{S}(\{r\}) \\ \text{for all } r \in R}} \prod_{r \in R} \left( \frac{|d_z(m_r) d_z(n_r)|}{m_r n_r} \right) \right).$$

The main term above is  $\sum_{n \in \mathcal{S}(R)} d_z(n)^2/n^2$ . The error term is  $\ll T^{-\frac{2}{5}} \prod_{p \in \cup_{r \in R} I_r} (1 - \delta/p)^{-2k\delta}$  and using the lower bound of Lemma 4 this is  $\ll T^{-\frac{1}{3}} \sum_{n \in \mathcal{S}(R)} d_z(n)^2/n^2$ . Thus the contribution of the first term in (8) is

$$(1 + O(T^{-\frac{1}{3}})) \sum_{n \in \mathcal{S}(R)} \frac{d_z(n)^2}{n^2}. \tag{10}$$

Now we consider the contribution of the second term in (8). This gives

$$\begin{aligned} & \sum_{\substack{W \subset R \\ W \neq \emptyset}} (-1)^{|W|-1} \sum_{\substack{m_w, n_w \in \mathcal{S}(\{w\}), \text{ and } w \in W \\ u_w > M_w \text{ for all } w \in W}} \prod_{w \in W} \left( \frac{d_z(m_w)d_z(n_w)}{m_w n_w} \right) \\ & \times \frac{1}{T} \int_T^{2T} \left( \frac{\prod_{w \in W} m_w}{\prod_{w \in W} n_w} \right)^{it} |\zeta(1 + it; R - W)|^{2z} dt, \end{aligned}$$

which is bounded in magnitude by

$$\sum_{\substack{W \subset R \\ W \neq \emptyset}} \sum_{\substack{m_w, n_w \in \mathcal{S}(\{w\}), \text{ and } w \in W \\ u_w > M_w \text{ for all } w \in W}} \prod_{w \in W} \left( \frac{|d_z(m_w)d_z(n_w)|}{m_w n_w} \right) \frac{1}{T} \int_T^{2T} |\zeta(1 + it; R - W)|^{2z} dt.$$

By the induction hypothesis we see that

$$\frac{1}{T} \int_T^{2T} |\zeta(1 + it; R - W)|^{2z} dt \ll \sum_{n \in \mathcal{S}(R-W)} \frac{d_z(n)^2}{n^2},$$

while from Lemma 5 (with  $m = u_w$  and  $\ell = (m_w, n_w)$  so that  $d_z(m\ell)d_z(\ell) = d_z(m_w)d_z(n_w)$ ; and note that the number of pairs  $m_w, n_w$  which give rise to a given pair  $\ell$ ,  $m$  is exactly  $2^{\omega(m)}$ ) we deduce that

$$\sum_{\substack{m_w, n_w \in \mathcal{S}(\{w\}) \\ u_w > M_w}} \frac{|d_z(m_w)d_z(n_w)|}{m_w n_w} \leq \sum_{n \in \mathcal{S}(\{w\})} \frac{d_z(n)^2}{n^2} \exp\left(-\frac{\log T}{(\log_2 T)^4}\right).$$

From these estimates it follows that the contribution of the second term in (8) is

$$\ll |R| \sum_{n \in \mathcal{S}(R)} \frac{d_z(n)^2}{n^2} \exp\left(-\frac{\log T}{(\log_2 T)^4}\right).$$

Combining this with (10) we obtain Proposition 2. □

**Proof of Theorem 3:** In view of Proposition 2 it remains only to prove that

$$\sum_{\substack{n=1 \\ p|n \implies p \leq y}}^{\infty} \frac{d_z(n)^2}{n^2} = \prod_{p \leq k} \left( 1 - \frac{\delta}{p} \right)^{-2k\delta} \exp\left( \frac{2k}{\log k} \left( C + O\left( \frac{k}{y} + \frac{1}{\log k} \right) \right) \right). \tag{11}$$

Using the first part of Lemma 4 for  $p \geq \sqrt{k}$  and the second part for  $p < \sqrt{k}$  we see that

$$\sum_{\substack{n=1 \\ p|n \implies p \leq y}}^{\infty} \frac{d_z(n)^2}{n^2} = \prod_{p < \sqrt{k}} \left(1 - \frac{\delta}{p}\right)^{-2k\delta} \prod_{\sqrt{k} \leq p \leq y} I_0\left(\frac{2k}{p}\right) \exp(O(\sqrt{k})).$$

Since  $\log I_0(t) = O(t^2)$  for  $0 \leq t \leq 2$  we have by the prime number theorem and partial summation that

$$\begin{aligned} \sum_{k \leq p \leq y} \log I_0\left(\frac{2k}{p}\right) &= \frac{2k}{\log k} \int_{2k/y}^2 \log I_0(t) \frac{dt}{t^2} + O\left(\frac{k}{\log^2 k}\right) \\ &= \frac{2k}{\log k} \int_0^2 \log I_0(t) \frac{dt}{t^2} + O\left(\frac{k^2}{y \log k} + \frac{k}{\log^2 k}\right). \end{aligned}$$

Since  $\log I_0(t) = t + O(\log t)$  for  $t \geq 2$  we obtain by the prime number theorem and partial summation that

$$\begin{aligned} \sum_{\sqrt{k} \leq p \leq k} \left( \log I_0\left(\frac{2k}{p}\right) + 2k\delta \log\left(1 - \frac{\delta}{p}\right) \right) \\ = \frac{2k}{\log k} \int_2^{\infty} (\log I_0(t) - t) \frac{dt}{t^2} + O\left(\frac{k}{\log^2 k}\right). \end{aligned}$$

These estimates prove (11) and so Theorem 3 follows.  $\square$

## 5 Proof of Theorem 1

Let  $\log T(\log_2 T)^4 \geq y \geq e^2 \log T$ , and let  $T\Phi_T(\tau; y)$  denote the measure of points  $t \in [T, 2T]$  for which  $|\zeta(1 + it; y)| \geq e^{\gamma\tau}$ . Taking  $z = k$  for an integer  $3 \leq k \leq \log T/(e^{10} \log(y/\log T))$  in Theorem 3 and using Mertens' theorem  $\prod_{p \leq k} (1 - 1/p)^{-1} = e^{\gamma} \log k + O(1/\log^2 k)$  we get that

$$\begin{aligned} 2k \int_0^{\infty} \Phi_T(t; y) t^{2k-1} dt &= \frac{1}{T} \int_T^{2T} e^{-2k\gamma} |\zeta(1 + it; y)|^{2k} dt \\ &= (\log k)^{2k} \exp\left(\frac{2k}{\log k} \left(C + O\left(\frac{k}{y} + \frac{1}{\log k}\right)\right)\right). \end{aligned} \quad (12)$$

Now

$$\begin{aligned} \int_0^{\infty} \Phi_T(t; y) dt &= e^{-\gamma} (1/T) \int_T^{2T} |\zeta(1 + it; y)| dt \\ &\leq e^{-\gamma} ((1/T) \int_T^{2T} |\zeta(1 + it; y)|^4 dt)^{1/4} \ll 1 \end{aligned}$$

by Theorem 3; so, by Hölder's inequality,

$$\begin{aligned} \int_0^\infty \Phi_T(t; y)t^a dt &\leq \left( \int_0^\infty \Phi_T(t; y) dt \right)^{1-a/b} \left( \int_0^\infty \Phi_T(t; y)t^b dt \right)^{a/b} \\ &\ll \left( \int_0^\infty \Phi_T(t; y)t^b dt \right)^{a/b} \end{aligned}$$

for  $a < b$ . While (12) at present holds only for integer values of  $k$ , we may interpolate to non-integer value  $\kappa \in (k-1, k)$  by taking  $a = 2k-3$ ,  $b = 2\kappa-1$  and then  $a = 2\kappa-1$ ,  $b = 2\kappa-1$  in the last inequality to obtain

$$\left( \int_0^\infty \Phi_T(t; y)t^{2k-3} dt \right)^{\frac{2\kappa-1}{2k-3}} \ll \int_0^\infty \Phi_T(t; y)t^{2\kappa-1} dt \ll \left( \int_0^\infty \Phi_T(t; y)t^{2\kappa-1} dt \right)^{\frac{2\kappa-1}{2k-1}},$$

and so we get (12) for  $\kappa$  by substituting (12) for  $k-1$  and  $k$  into this equation.

Suppose  $1 \ll \tau \leq \log_2 T - 20 - \log_2(y/\log T)$  and select  $\kappa = \kappa_\tau$  such that  $\log \kappa = \tau - 1 - C$ . Let  $\epsilon > 0$  be a bounded parameter to be fixed shortly and put  $K = \kappa e^\epsilon$ . Observe that

$$\begin{aligned} 2\kappa \int_{\tau+\epsilon}^\infty \Phi_T(t; y)t^{2\kappa-1} dt &\leq 2\kappa(\tau+\epsilon)^{2\kappa-2K} \int_{\tau+\epsilon}^\infty \Phi_T(t; y)t^{2K-1} dt \\ &\leq (\tau+\epsilon)^{2\kappa(1-e^\epsilon)} \left( 2K \int_0^\infty \Phi_T(t; y)t^{2K-1} dt \right). \end{aligned}$$

Using (12) we observe that

$$\begin{aligned} &2K \int_0^\infty \Phi_T(t; y)t^{2K-1} dt \\ &= \left( (\log \kappa + \epsilon) \exp \left( \frac{C}{\log \kappa} \left( 1 + O \left( \frac{1}{\log \kappa} + \frac{\kappa}{y} \right) \right) \right) \right)^{2K} \\ &= \exp \left( \frac{2\kappa(\epsilon e^\epsilon + C(e^\epsilon - 1))}{\log \kappa} + O \left( \frac{\kappa}{\log^2 \kappa} + \frac{\kappa^2}{y \log \kappa} \right) \right) \\ &\quad \times (\log \kappa)^{2\kappa(e^\epsilon - 1)} \int_0^\infty \Phi_T(t; y)t^{2\kappa-1} dt. \end{aligned}$$

We conclude from the last two displayed equations

$$\begin{aligned} 2\kappa \int_{\tau+\epsilon}^\infty \Phi_T(t; y)t^{2\kappa-1} dt &= \exp \left( \frac{2\kappa}{\log \kappa} (1 + \epsilon - e^\epsilon) + O \left( \frac{\kappa}{\log^2 \kappa} + \frac{\kappa^2}{y \log \kappa} \right) \right) \\ &\quad \times \int_0^\infty \Phi_T(t; y)t^{2\kappa-1} dt. \end{aligned}$$

Choose  $\epsilon = c(1/\tau + (\log T)/y)^{\frac{1}{2}}$  for a suitable constant  $c > 0$ , so that for large  $\tau$  (and hence large  $\kappa$ ),

$$\int_{\tau+\epsilon}^\infty \Phi_T(t; y)t^{2\kappa-1} dt \leq \frac{1}{100} \int_0^\infty \Phi_T(t; y)t^{2\kappa-1} dt,$$

say. A similar argument reveals that

$$\int_0^{\tau-\epsilon} \Phi_T(t; y)t^{2\kappa-1} dt \leq \frac{1}{100} \int_0^\infty \Phi_T(t; y)t^{2\kappa-1} dt.$$

Combining these two assertions with (12) for  $\kappa$  we obtain

$$\int_{\tau-\epsilon}^{\tau+\epsilon} \Phi_T(t; y)t^{2\kappa-1} dt = (\log \kappa)^{2\kappa} \exp\left(\frac{2\kappa C}{\log \kappa}(1 + O(\epsilon^2))\right).$$

Since  $\Phi_T$  is a non-increasing function we deduce that the left side above is

$$\geq \Phi_T(\tau + \epsilon; y)\tau^{2\kappa} \exp(O(\kappa\epsilon/\tau)), \quad \text{and} \quad \leq \Phi_T(\tau - \epsilon; y)\tau^{2\kappa} \exp(O(\kappa\epsilon/\tau)).$$

It follows that

$$\Phi_T(\tau + \epsilon; y) \leq \exp\left(-\left(2 + O(\epsilon)\right)\frac{e^{\tau-1-C}}{\tau}\right) \leq \Phi_T(\tau - \epsilon; y),$$

and hence that uniformly in  $\tau \leq \log_2 T - 20 - \log_2(y/\log T)$  we have

$$\Phi_T(\tau; y) = \exp\left(-\frac{2e^{\tau-1-C}}{\tau}(1 + O(\epsilon))\right). \tag{13}$$

From Proposition 1 we know that

$$\Phi_T(\tau) = \Phi_T(\tau + O(\epsilon); y) + O(\exp(-\log T/50 \log_2 T))$$

for  $\tau \ll \log_2 T$ ; and so from (13) we deduce that uniformly in  $\tau \leq \log_2 T - 20 - \log(y/\log T)$  we have

$$\Phi_T(\tau) = \exp\left(-\frac{2e^{\tau-1-C}}{\tau}(1 + O(\epsilon))\right) + O\left(\exp\left(-\frac{\log T}{50 \log_2 T}\right)\right).$$

Taking  $y = \min(\tau \log T, (\log^2 T)/e^{10+\tau})$  above we easily obtain Theorem 1 for  $\Phi_T$ . The argument for  $\Psi_T$  is analogous, using  $z = -k$  in Theorem 3.  $\square$

One finds, using the first part of Lemma 4 and the observation that  $\log I_0(2k/p) \ll k^2/p^2$  for  $p > k$ , that

$$\begin{aligned} \mathbb{E}(|L(1, X)|^{2z}) &= \sum_{n \geq 1} \frac{d_z(n)^2}{n^2} = \sum_{\substack{n=1 \\ p|n \implies p \leq y}}^{\infty} \frac{d_z(n)^2}{n^2} \exp\left(O\left(\frac{k^2}{y \log y}\right)\right) \\ &= \prod_{p \leq k} \left(1 - \frac{\delta}{p}\right)^{-2k\delta} \exp\left(\frac{2k}{\log k} \left(C + O\left(\frac{k}{y} + \frac{1}{\log k}\right)\right)\right), \end{aligned}$$

the last line following as in the proof of Theorem 3. With this estimate we can proceed precisely as in the proof of Theorem 1 to obtain the analogous estimate.

## 6 Large values of $|\zeta(1 + it)|$ : Proof of Theorem 2

Let  $T$  be large and put  $y = \log T \log_2 T / (4B \log_3 T)$  for some  $B \geq 5$ , and  $\delta = 1/[\log_2 T]^4$ . Let  $\|z\|$  denote the distance of  $z$  from the nearest integer.

**Lemma 6.** *For any real  $t_0$  there is a positive integer  $m \leq T^{\frac{1}{B}}$  such that for each prime  $p \leq y$  we have  $\|(mt_0 \log p)/2\pi\| \leq \delta$ .*

**Proof:** This follows from Dirichlet's theorem on Diophantine approximation (see for example §8.2 of [8]) since  $1/\delta$  is an integer and  $(1/\delta)^{\pi(y)} \leq T^{\frac{1}{B}}$ , by the prime number theorem.  $\square$

**Lemma 7.** *For any real  $t_1$  there is a positive integer  $n \leq [\log_2 T]^2$  for which*

$$\operatorname{Re} \sum_{y \leq p \leq \exp((\log T)^{10})} \frac{1}{p^{1+int_1}} \geq -\frac{10}{\log_2 T}.$$

**Proof:** Let  $K(x) = \max(0, 1 - |x|)$  and note that  $\sum_{l=-L}^L K(l/L)e^{ilt}$  (the Fejer kernel) is non-negative for all positive integers  $L$  and all  $t$ . It follows therefore that

$$\sum_{j=-[\log_2 T]^2}^{[\log_2 T]^2} K\left(\frac{j}{[\log_2 T]^2}\right) \sum_{y \leq p \leq \exp((\log T)^{10})} \frac{1}{p^{1+ijt_1}} \geq 0.$$

Hence we obtain that

$$\begin{aligned} \operatorname{Re} \sum_{j=1}^{[\log_2 T]^2} K\left(\frac{j}{[\log_2 T]^2}\right) \sum_{y \leq p \leq \exp((\log T)^{10})} \frac{1}{p^{1+ijt_1}} &\geq -\frac{1}{2} \sum_{y \leq p \leq \exp((\log T)^{10})} \frac{1}{p} \\ &\geq -5 \log_2 T. \end{aligned}$$

The lemma follows at once.  $\square$

**Proof of Theorem 2 :** For  $T^{\frac{1}{10}} \leq |t| \leq T$  one has

$$\log \zeta(1 + it) = - \sum_{p \leq \exp((\log T)^{10})} \log \left(1 - \frac{1}{p^{1+it}}\right) + O\left(\frac{1}{\log T}\right).$$

(One can prove this, arguing as in the proof of the prime number theorem, by noting that  $(1/2i\pi) \int_{(c)} \log \zeta(1 + it + w)(x^w/w)dw$  with  $x = \exp((\log T)^{10})$  and  $c > 0$  gives the main term of the right side by Perron's formula, and by shifting the contour to the left of 0, but enclosing a region free of zeros of  $\zeta(s)$ , we get residue  $\log \zeta(1 + it)$  from the simple pole at  $w = 0$ , and the error term from the remaining integral.)

Combining Lemmas 6 and 7 (with  $t_1 = mt_0$ ) we see that for any  $t_0 \in [T^{1/10}, T]$  there exists an integer  $\ell$  (where  $\ell = mn$ ) with  $1 \leq \ell \leq T^{\frac{1}{B}}[\log_2 T]^2$  such that  $\| (lt_0 \log p)/2\pi \| \leq 1/[\log_2 T]^2$  for each prime  $p \leq y$ , and such that

$$\operatorname{Re} \sum_{y \leq p \leq \exp((\log T)^{10})} \frac{1}{p^{1+ilt_0}} \geq -\frac{10}{\log_2 T}.$$

We deduce therefore that

$$\begin{aligned} |\zeta(1+ilt_0)| &\geq \prod_{p \leq y} \left( 1 - \frac{1}{p} + O\left(\frac{1}{p(\log_2 T)^2}\right) \right)^{-1} \left( 1 + O\left(\frac{1}{\log_2 T}\right) \right) \\ &\geq e^\gamma (\log_2 T + \log_3 T - \log_4 T - \log A + O(1)), \end{aligned}$$

using the prime number theorem, where  $A = 1/(2/B + 3 \log_2 T / \log T)$ .

We use the above procedure with  $t_0 = T_0, T_0 + 1, T_0 + 2, \dots, T_0 + U_0$  where  $T_0 = [T^{1-1/B}/3[\log_2 T]^2]$  and  $U_0 = [T^{1-2/B}/7[\log_2 T]^4]$ . Let  $\ell_i$  be as above so  $\ell_i \leq T^{1/B}[\log_2 T]^2$  and thus  $\tau_i = \ell_i(T_0 + i) \leq T/2$ . We claim that  $|\tau_i - \tau_j| \geq 1$  if  $i \neq j$  for if not then evidently  $\ell_i \neq \ell_j$  (else  $1 \leq |(T_0 + j) - (T_0 + i)| = |\tau_j - \tau_i|/\ell_i < 1$ ), so that

$$T_0 \leq |(\ell_i - \ell_j)T_0| \leq |\tau_i - \tau_j| + |\ell_i - \ell_j| < 1 + U_0 T^{1/B}[\log_2 T]^2,$$

which is false. Now each  $|\zeta(1+i\tau_j)| \geq e^\gamma (\log_2 T + \log_3 T - \log_4 T - \log A + O(1))$ . Since  $|\zeta'(1+it)| \ll \log^2 T$  for  $1 \leq |t| \leq T$  we see that for any  $|\alpha| \leq 1/\log^2 T$  we have that  $|\zeta(1+i\tau_j + i\alpha)| = |\zeta(1+i\tau_j)| + O(\alpha \log^2 T) = |\zeta(1+i\tau_j)| + O(1)$ . Thus the measure of  $t \in [0, T]$  with  $|\zeta(1+it)| \geq e^\gamma (\log_2 T + \log_3 T - \log_4 T - \log A + O(1))$  is at least  $2U_0/\log^2 T$ , proving Theorem 2.  $\square$

## 7 The analogous results for $L$ -functions at 1

By analogous methods one can prove:

**Theorem 4.** *Let  $q$  be a large prime.*

- (i) *The proportion of characters  $\chi \pmod{q}$  for which  $|L(1, \chi)| > e^\gamma \tau$  is*

$$\exp\left(-\frac{2e^{\tau-C-1}}{\tau} \left(1 + O\left(\frac{1}{\tau^{\frac{1}{2}}} + \left(\frac{e^\tau}{\log q}\right)^{\frac{1}{2}}\right)\right)\right), \quad (14)$$

*uniformly in the range  $1 \ll \tau \leq \log_2 q - 20$ . The same asymptotic also holds for the proportion of characters  $\chi \pmod{q}$  for which  $|L(1, \chi)| < \pi^2/6e^\gamma \tau$ .*

- (ii) *There are at least  $q^{1-1/A}$  characters  $\chi \pmod{q}$  such that*

$$|L(1, \chi)| \geq e^\gamma (\log_2 q + \log_3 q - \log_4 q - \log A + O(1)),$$

*for any  $A \geq 10$ .*

If, in addition, we vary over all characters  $\chi \pmod q$  and all primes  $Q \leq q \leq 2Q$ , then we can get a good estimate for the distribution function of  $|L(1, \chi)|$  in almost the entire viable range. Thus we may prove that the proportion of  $|L(1, \chi)| \geq e^\tau \tau$  is (14) for the range  $1 \leq \tau \leq \log_2 Q + \log_3 Q - 100$ , but now with the error term “ $(e^\tau / (\log Q \log_2 Q))^{\frac{1}{2}}$ ” in place of “ $(e^\tau / \log q)^{\frac{1}{2}}$ ” (and a corresponding result holds for  $1/|(6/\pi^2)L(1, \chi)|$ ).

The broad outline of the proof is the same, though now replacing  $\log T$  by  $\log Q \log_2 Q$ , so that  $\log Q (\log_2 Q)^4 \geq y \geq e^2 \log Q \log_2 Q$  and the range for  $k$  becomes  $2 \leq k \leq \log Q \log_2 Q / (e^{10} \log(y / (\log Q \log_2 Q)))$ . The result follows easily from the following analogy to Theorem 3,

$$\frac{1}{\pi(Q)} \sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \pmod q} |L(1, \chi; y)|^{2z} = \prod_{p \leq k} \left(1 - \frac{\delta}{p}\right)^{-2k\delta} \times \exp\left(\frac{2k}{\log k} \left(C_1 + O\left(\frac{k}{y} + \frac{1}{\log k}\right)\right)\right),$$

and an appropriate development of Lemma 4, where  $L(1, \chi; y) := \prod_{p \leq y} (1 - \chi(p)/p)^{-1}$ . The above estimate, though, is proved rather more easily than Theorem 3. Since  $L(1, \chi; y)^z = \sum_{n \in S(y)} d_z(n) \chi(n)/n$ , and  $L(1, \bar{\chi}; y)^z = \sum_{m \in S(y)} d_z(m) \bar{\chi}(m)/m$  where  $S(y)$  is the set of integers all of whose prime factors are  $\leq y$ , the left side of this equation equals

$$\sum_{m, n \in S(y)} \frac{d_z(m) d_z(n)}{mn} \left\{ \frac{1}{\pi(Q)} \sum_{q \leq Q} \frac{1}{\varphi(q)} \sum_{\chi \pmod q} \chi(m) \bar{\chi}(n) \right\}.$$

The term in  $\{\}$  equals  $1 - \#\{q \leq Q : q|mn\} / \pi(Q)$  if  $m = n$ , and is  $\leq \#\{q \leq Q : q|m - n\} / \pi(Q)$  if  $m \neq n$ . Therefore our sum is

$$\sum_{n \in S(y)} \frac{d_z(n)^2}{n^2} + O\left(\frac{1}{\pi(Q)} \left(\sum_{m \in S(y)} \frac{|d_z(m)| \log 2m}{m}\right)^2\right).$$

Now  $\log 2n \ll k^2 + n^{1/k}$  so that

$$\begin{aligned} \sum_{n \in S(y)} \frac{|d_z(n)|}{n} \log 2n &\ll k^2 \prod_{p \leq y} \left(1 - \frac{\delta}{p}\right)^{-\delta k} + \prod_{p \leq y} \left(1 - \frac{\delta}{p^{1-1/k}}\right)^{-\delta k} \\ &\ll \prod_{p \leq y} \left(1 - \frac{\delta}{p}\right)^{-\delta k} \left(k^2 + \exp\left(O\left(k \sum_{p \leq y} \frac{p^{1/k} - 1}{p}\right)\right)\right) \\ &\ll (\log Q)^{O(1)} \prod_{p \leq y} \left(1 - \frac{\delta}{p}\right)^{-\delta k}, \end{aligned}$$

and the claimed estimate follows from Lemma 4.



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