Aspects of Lagrangian Topology.

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Symplectic manifolds and Lagrangian submanifolds.

 (M^{2n}, ω) symplectic $\Leftrightarrow \omega$ 2-form, $d\omega = 0$, ω non-degenerate.

 $L^n \hookrightarrow M$ submanifold - in this talk, compact, closed.

L Lagrangian $\iff \omega|_L \equiv 0$.

Examples.

a.
$$\mathbb{C}$$
; $\omega_0 = dx \wedge dy$; $\mathbb{R} \subset \mathbb{C}$ or $S^1 \subset \mathbb{C}$.
b. \mathbb{C}^n ; $\omega_0 = dx_1 \wedge dy_1 + \ldots + dx_n \wedge dy_n$; $\mathbb{R}^n \subset \mathbb{C}^n$.
c. $\mathbb{R}P^n \hookrightarrow \mathbb{C}P^n$; $\mathbb{T}^n_{cliff} \subset \mathbb{C}P^n$,
 $\mathbb{T}^n_{cliff} = \{[z_0 : z_1 : \ldots : z_n] : |z_0| = |z_1 = \ldots = |z_n|\}.$
d. $N \hookrightarrow T^*N$.

Pairs $L \hookrightarrow (M, \omega)$ appear in classical mechanics, string theory, algebraic geometry, complex analysis etc...



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Rigidity: Floer Homology and $D\mathcal{F}uk(M)$.

Relation with complex analysis (Gromov '85):

 (M, ω) symplectic $\Rightarrow \exists J : TM \rightarrow TM$ almost complex structure compatible with ω ($\Leftrightarrow J^2 = -Id, \omega(-, J-)$ is a Riemannian metric).

Example. $i : \mathbb{C}^n \to \mathbb{C}^n$.

J a. c. structure \Rightarrow Cauchy-Riemann operator:

$$ar{\partial}_J(-) = rac{1}{2} igg[rac{\partial}{\partial s}(-) + J rac{\partial}{\partial t}(-) igg] \; .$$

Moduli spaces: $\alpha \in \pi_2(M, L)$

$$\mathcal{M}(\alpha, J; L) = \{ u : (D^2, S^1) \to (M, L) : \overline{\partial}_J(u) = 0, [u] = \alpha \}$$



formed by u, $[u] = \alpha$ with domain D^2 with k - boundary punctures (or, alternatively, k marked points) and with boundary conditions along L_1, L_2, \dots, L_s .



Assuming regularity \mathcal{M} is a manifold \Rightarrow admits Gromov compactification as manifold with boundary \Rightarrow various invariants.

a. Floer Homology (*Floer* '88 using work of *Gromov* '85, continued by *Hofer*, *Salamon*, *Oh*, *Fukaya*, *Fukaya*-*Oh*-*Ohta*-*Ono* etc):

 $L, L' \subset M$ Lagrangians, $L \cap L'$ transverse.

 $\textit{CF}(\textit{L},\textit{L}') = \mathbb{Z}_2 < \textit{L} \cap \textit{L}' > \quad \mathrm{with \ differential}$

 $d: CF(L, L') \rightarrow CF(L, L')$ that counts *J*-holomorphic strips:

$$dP = \sum \# \mathcal{M}^2(\alpha, J; L, L'; P, Q) Q$$

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 α is so that $\mathcal{M}^2(\alpha, J; L, L')$ is 0-dimensional; the punctures are sent to P and Q.

 $d^2 = 0$ because of the structure of the compactification:

$$\partial \overline{\mathcal{M}}^2(P,R) = \bigcup \ \mathcal{M}^2(P,Q) \times \mathcal{M}^2(Q,R)$$
(1)

$$\Rightarrow HF(L,L') = H(CF(L,L'),d).$$

Remark

For (1) to be satisfied some constraints are required. Otherwise, there are more terms, or, even worse, regularity becomes problematic.

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Two properties make HF a legitimate invariant:

- i. HF(L, L') is independent of J
- ii. If $\phi: M \to M$ is a Hamiltonian isotopy, then:

 $HF(\phi(L), L') \cong HF(L, \phi(L')) \cong HF(L, L')$.

 $\mu, \hat{\omega} : \pi_2(M, L) \to \mathbb{Z}, \mathbb{R}$ - the Maslov class and integration of ω .

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i. Aspherical case: $\mu = \hat{\omega} = 0$, then HF well defined and:

L is Ham. isotopic to $L' \Rightarrow HF(L, L') \cong H(L; \mathbb{Z}_2)$

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- ii. <u>Monotone case</u>: $\exists \rho > 0$, $\hat{\omega} = \rho \mu$ and $N_L \ge 2$. *HF*(*L*, *L'*) well defined if both *L* and *L'* are monotone with same ρ + additional condition.

Possible that: L ham isotopic to L' and HF(L, L') = 0. Example: $S^1 \subset \mathbb{C}$, $HF(S^1, S^1) = 0$.

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 iii. <u>General case</u>: the algebra is different (*Fukaya-Oh-Ohta-Ono*'04 -'09, *Lalonde-C*.'05); the analysis is *highly difficult* - still being perfected (*Fukaya-Oh-Ohta-Ono*, *Hofer-Wysocki-Zehnder*, *McDuff-Werheim*). We work from now on in the monotone setting - in a uniform way.

c. The triangle product.

 $L_1, L_2, L_3 \subset M$ Lagrangians in general position.

$$*: CF(L_1, L_2) \otimes CF(L_2, L_3) \rightarrow CF(L_1, L_3)$$

given by counting *J*-holomorphic triangles $\in \mathcal{M}^3(J; L_1, L_2, L_3)$



Product is associative in homology \rightsquigarrow (due to Donaldson '93) the Donaldson category, $\mathcal{D}on(M)$.

 $\mathcal{D}on(M)$ has as objects $L \in Lag(M)$ (assuming uniform monotonicity)

 $\mathsf{hom}(L,L') = HF(L,L')$, composition = *

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Kontsevich '97: Use $\mathcal{F}uk(M) \rightsquigarrow$

 \rightsquigarrow triangulated completion of $\mathcal{D}on(M) =$

 $= D\mathcal{F}uk(M)$.

These structures are described in *Fukaya-Oh-Ohta-Ono* '09 (and earlier) and *Seidel* '06.

d. Triangulated categories and K_0 .

A category C is triangulated (*Verdier* '63, *Dold-Puppe* '61) if it has a class of *exact* (*or distinguished*) *triangles* subject to axioms similar to the properties of cofibrations sequences in topology:

$$A \longrightarrow B \longrightarrow C \longrightarrow \Sigma A, \quad C = B \cup_f CA$$



$\mathcal{C} \text{ triangulated} \Rightarrow$

- can decompose objects by iterated triangles.
- Grothendieck group

$${\it K}_0({\it C})=\mathbb{Z}_2 < O \in {\it Ob}({\it C}) > /{\it R}'$$
 .

Relations \mathcal{R}' are generated by:

$$A \to B \to C$$
 exact triangle $\Rightarrow A - B + C \in \mathcal{R}'$

Remark

The category $D\mathcal{F}uk(M)$ contains Don(M) but has additional objects. These detect the existence of non-trivial *chain level* morphisms. For instance, if $L \stackrel{0}{\rightarrow} L' \rightarrow K$ and $L \stackrel{0}{\rightarrow} L' \rightarrow K'$ are exact with $K \neq K'$, then $rk(CF(L,L')) \geq 2$.

e. Some consequences.

i. The Arnold Conjecture (Floer). Aspherical setting - if L and L' are Hamiltonian isotopic then

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\#(L \cap L') \geq rk[H(L;\mathbb{Z}_2)].
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Remark

For very small, exact deformations $L' \equiv$ a graph of a Morse function $f : L \to \mathbb{R}$ and thus $L \cap L' = Crit(f)$. The estimate follows by the Morse inequalitites.

But for *large* deformations the "smooth" lower bound is $\chi(L)$!

In this case, the estimate follows from:

 $CF(L,L') = \mathbb{Z}_2 < L \cap L' > , \ H(CF(L,L'),d) \cong H(L;\mathbb{Z}_2) \ .$

ii. Some applications of the Fukaya category.

- Cases of *Homological Mirror Symmetry* (mostly since '00): *Seidel, Abouzaid, Auroux, Smith, Sheridan*; many interesting other results by *Perutz, Lekili* and others. Much of this work uses *Seidel*'s book ('06) as foundation.

- Nearby Lagrangians: An *exact* Lagrangian $L \subset T^*N$ (under additional constraints) is homologically equivalent to the zero section (*Fukaya-Seidel-Smith* '07, *Nadler* '07 by different methods); further extended to *homotopy equivalent* by *Abouzaid* '10.

Remark

The Arnold nearby Lagrangian conjecture is: An *exact* Lagrangian $L \subset T^*N$ is Hamiltonian isotopic to the 0-section.

iii. Gromov width - a test problem. Measure the "size" of $L \hookrightarrow (M, \omega)$ by width (*Barraud-C.* '06). $w(L) = \sup\{\pi r^2 : \exists \phi : B(r) \hookrightarrow M, \phi^* \omega = \omega_0, \phi^{-1}(L) = B(r) \cap \mathbb{R}^n\}$

 $(B(r), \omega_0) \subset \mathbb{C}^n$ standard ball of radius r.



L is *uniruled* if $\exists K > 0$ so that for $\forall J$ and $\forall P \in L$,

 $\exists u \in \mathcal{M}(J; L)$, with $P \in u(S^1)$ and $\omega(u) \leq K$.



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Easy to see: L uniruled $\Rightarrow w(L) \leq 2K$

Remark

Conjectured (Barraud-C. '06): any closed Lagrangian $L \subset \mathbb{C}^n$ has finite Gromov width. Even further, it is uniruled.

Gromov width conjecture is true if:

- i. (C.- Lalonde '06, Biran-C. '07) *L* monotone; uniruling is also true; stronger results by Charette ('12).
- ii. (Biran-C. '07, Charette in progress) *L* two-dimensional and orientable.
- iii. (Borman-McLean '13) *L* admits a metric of non-positive curvature proof does not go through uniruling.
- iv. (C.-Lalonde '06, Fukaya '06)* General Lagrangians diffeomorphic to (among other possibilities) $L = S^1 \times S^{2k+1}$. *This assumes the analysis works in the "general" setting.

First case that all the machinery can not hit: $S^1 \times S^{even}$.

Flexibility.

a. The Gromov *h*-principle.(*Gromov*, *Eliashberg* '80's) Lagrangian *immersions* are governed by the *h*-principle: algebraic topological criteria suffice to decide whether a map can be perturbed to a Lagrangian immersion.

Such an immersion can be further perturbed so that it has only transversal double points.

b. Lagrangian Surgery. (Lalonde-Sikorav, Polterovich '91) Double points can be removed via surgery \Rightarrow embedded Lagrangians



Puzzles.

a. How natural is the machinery ?

Floer homology is not a "homology theory" as topologists understand these; the derived Fukaya category is not purely geometric, nor purely algebraic, and the triangular structure is obscure geometrically.

b. Where is the boundary flexibility/rigidity ?

Flexible constructions are often imcompatible with *J*-holomorphic techniques (surgery destroys monotonicity etc). Is this a reflection of geometry or an artifact of methods that are not efficient enough? Thus, even if the "general" machinery is technically very hard it does not solve a seemingly simple problem such as showing:

$$w(S^1 \times S^{2k}) < \infty$$
.

Lagrangian cobordism.

Since work of *Thom '54*, cobordism has been central to the study of manifolds.

Two closed (not necessarily connected) manifolds A^n and B^n are cobordant if there is a manifold C^{n+1} so that



Manifolds - up to cobordism - are organized in cobordism groups, operation is $\coprod.$

smooth cobordism groups \cong homotopy groups of Thom spaces

Definition (Arnold '80)

 (M, ω) symplectic manifold; (L_1, \ldots, L_k) , $(L'_1, \ldots, L'_{k'})$ two families of closed, connected Lagrangian submanifolds $\subset M$. A Lagrangian cobordism:

 $V: (L_i) \to (L'_j)$ is a Lagrangian $V \subset (\mathbb{C} \times M, \omega_0 \oplus \omega)$ so that $V|_{[1,\infty) \times \mathbb{R} \times M} = \cup_i [1,\infty) \times \{i\} \times L_i$ $V|_{(-\infty,0] \times \mathbb{R} \times M} = \cup_j (-\infty,0] \times \{j\} \times L'_j$.

If $\pi: \mathbb{C} \times M \to \mathbb{C}$ is the projection, $\pi(V)$ looks like this:



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Form a group: $G^*_{cob}(M) = \mathbb{Z}_2 < L \subset M$, Lagrangian > $/\mathcal{R}_{cob}$. Relations \mathcal{R}_{cob} generated by:

$$L_1 + \ldots L_k = 0$$
 if $(L_1, \ldots L_k)$ is null - bordant.

 $-^*$ means that the Lagrangians and the cobordisms are restricted - in our case *uniformly monotone*.

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Remark

By surgery we can transform any *immersed* cobordism into an *embedded* one \Rightarrow "general" cobordism is a very flexible equivalence relation \Rightarrow the resulting "general" cobordism groups do not reflect hard rigidity properties. But they are computable. For $M = \mathbb{C}^n$ computations are due to *Audin* '85 and *Eliashberg* '84.

Theorem (*Biran-C.* '11 & '13)

a. \exists group morphism : $\hat{\mathcal{F}} : G^*_{cob}(M) \longrightarrow K_0(D\mathcal{F}uk(M))$ that lifts the natural morphism $G^*_{cob}(M) \rightarrow H_n(M; \mathbb{Z}_2)$.

b. If $V : L \to L'$ cobordism $\Rightarrow L \cong L'$ in $D\mathcal{F}uk(M)$. In particular, $HF(L, L) \cong HF(L', L')$.





a. Comments:

- $\hat{\mathcal{F}}: G^*_{\mathcal{C}ob}(M) \to K_0 D\mathcal{F}uk(M)$ is a sort of rigid version of the Thom map mentioned before.
- $K_0(D\mathcal{F}uk(M))$ is known in some cases, mainly surfaces, by work of *Seidel*, *Abouzaid*. It can be "identified" by homological mirror symmetry (when this applies).
- For the 2-torus (a variant) of $\hat{\mathcal{F}}$ shown to be an isomorphism by *Haug* '13.

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- From c: decompositions by exact triangles in *DFuk* are natural - they correspond to "splitting" via cobordisms.

b. Idea of proof for: $V: L \rightarrow L' \Rightarrow HF(L, L) \cong HF(L', L')$

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- i. given two cobordisms W, W' define HF(W, W').
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c. Categorification. The full statement of the theorem follows from a stronger, "categorified" version.

Simple cobordism category $SCob^*(M)$:

$$\mathcal{O}b(\mathcal{SC}ob^*(M)) = \{L \in Lag^*(M)\}$$

 $hom_{SCob^*(M)}(L,L') = \{V \subset \mathbb{C} \times M \text{ cobordism}\} \text{ mod isotopy.}$

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 $hom_{SCob^*(M)}(L,L') = \{ V \subset \mathbb{C} \times M \text{ cobordism} \} \text{ mod isotopy.}$

 \exists functor $\mathcal{F} : SCob^*(M) \to D\mathcal{F}uk(M)$ whose properties imply the Theorem.

Remark

A categorical formalism for Lagrangian cobordism has been independently introduced by *Nadler-Tanaka* '12

Final comments.

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Recall the Puzzles ?

a. Cobordism helps with our first "naturality" puzzle .

- exact triangles are often a reflection of geometric decompositions by cobordism.

- Floer homology has the structure of a functor with properties somewhat similar to a TQFT.

So while all this machinery is complex it is more natural than it might first appear.

b. Boundary between rigidity and flexibility.

- *J*-holomorphic based Lagrangian invariants such as HF are more invariant than expected from their construction - to cobordism and not only Hamiltonian isotopy.

The downside is that without any "constraints" (like monotonicity or others) these invariants have to be weak - or are not defined because "general" cobordism is too flexible an equivalence relation.

It turns out that hard methods give $w(S^1 \times S^{odd}) < \infty$ but nothing for $S^1 \times S^{even}$ not due to the inefficiency of the method but because...

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