

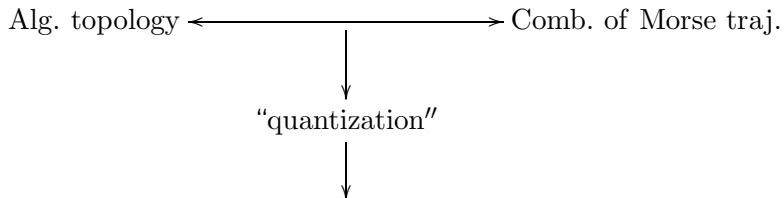
# Wide and Narrow Lagrangian Submanifolds

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joint work with Paul Biran

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# I. Background

## A. Underlying theme



Combinatorics of Morse trajectories **broken** by  $J$ -hol. curves

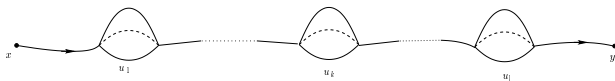
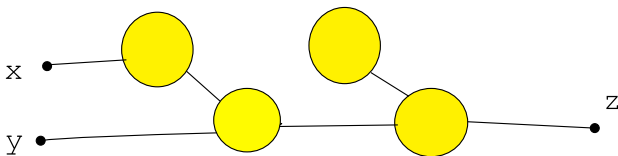


Figure: Pearls for instance.

Credit: Gromov, Floer, Witten, Donaldson, Fukaya, Oh and many others.

B. Current approaches. For  $L \subset M$  general Lag.  $\exists$  two algebraic machines, both based on counting elements in moduli spaces of objects modeled on trees such as:



**Figure:** Circles represent  $J$ -disks; segments represent flow lines of a **unique**  $M$ . function  $f$ ; the ends represent critical points of  $f$ .

Distinction due to the direction of the flow:



$A_\infty$ -structure

Fukaya-Oh-Ohta-Ono, '00,  
'06,'08



DGA-structure

C.- Lalonde, '04 - clusters  
(CDGA)

For even richer structures one uses more Morse functions along the edges.

## Remarks:

- ▶ The two models are not precisely dual algebraically:  $\text{hom}(-)$  misbehaves w.r. to  $\infty$ -sums ...very closely related though, in particular,  $d^2 = 0$  in the DGA case corresponds to the relations among the  $m_k$ 's in the  $A_\infty$  setting etc.
- ▶ In the DGA-model, homology is always defined, invariant w.r. to  $J$ ; with “positive” coefficients, it never vanishes.
- ▶ The  $A_\infty$  model above is not the “standard” one. Rather it appears in *Canonical models of filtered  $A_\infty$ -algebras and Morse complexes*, Fukaya - Oh - Ohta - Ono, arXiv:0812.1963. Cautionary note: the paper makes no mention whatsoever of clusters.
- ▶ Technical aspects (transversality !): considerable advances by Fukaya-Oh-Ohta-Ono, also remarkable work by Joyce as well as, in different (but likely adjustable) settings, by Hofer-Wisocki-Zehnder and Cieliebak-Mohnke.  
*Complete ???....not clear (to me at least).*

## II. Monotone Lagrangians

### A. Notation

- ▶  $L^n \subset (M^{2n}, \omega)$ ,  $\mu$ =Maslov index,

$$N_L = \min\{\mu(\alpha) : \omega(\alpha) > 0\} .$$

- ▶  $L$  is **monotone** if

$$\mu : \pi_2(M, L) \rightarrow \mathbb{Z}$$

and

$$\omega : \pi_2(M, L) \rightarrow \mathbb{R}$$

verify  $\omega(-) = \rho\mu(-)$  for some  $\rho > 0$  and

$$N_L \geq 2 .$$

- ▶  $\Lambda = \mathbf{k}[t, t^{-1}]$ ;  $\Lambda^+ = \mathbf{k}[t]$ ,  $|t| = -N_L$ .

For a monotone Lagrangian, Floer hlg.  $HF(L) = HF(L, L; \mathbb{Z}/2)$  is well defined (Oh, '93).

All known monotone Lagr. are either:

- ▶ **wide** - in the sense that  $HF(L) = H(L; \mathbb{Z}/2) \otimes \Lambda$  or
- ▶ **narrow** - in the sense that  $HF(L) = 0$ .

## B. Some properties (Biran -C. '07-'08)

- ▶ Any two non-narrow  $L$  in  $\mathbb{C}P^n$  intersect (related results by Entov-Polterovich '07-'08, Tamarkin '08, Alston '08, earlier work by Albers).
- ▶ Normalize  $\mathbb{C}P^n$  so that  $w(\mathbb{C}P^n) = 1$ .

$$L \subset \mathbb{C}P^n, \text{ wide} \Rightarrow w(\mathbb{C}P^n \setminus L) \leq \frac{n}{n+1}$$

(thus  $L$  is a barrier).

- ▶  $\exists$  many narrow tori in  $\mathbb{C}P^n$ , more with  $n$  (related to work of Chekanov-Schlenk '07).
- ▶  $L$  monotone in  $\mathbb{C}P^n$ , then:

$$w(L) + w(\mathbb{C}P^n \setminus L) \leq 2 - \frac{1}{n+1}$$

( $L$  is either a barrier or its width is  $< w(\mathbb{C}P^n)$ ).

### In short:

- ▶ Monotone  $L$ . form an interesting class of objects.
- ▶ Technically they are treatable in a reasonably direct and complete way - to be rapidly discussed next.
- ▶ They allow the discovery of phenomena which are interesting in themselves and are likely to admit generalizations to the general case. One such example - concerning enumerative invariants - will be discussed later in the talk.

### C. A few technical points.

- ▶  $N_L \geq 2$  implies that in 0 and 1-dim. moduli spaces *side bubbling* is not possible. Thus, by Gromov compactification, the underlying type of trees does not change.

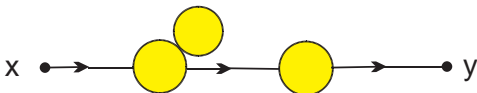


Figure: This type of bubbling is not possible.

- ▶ Important ingredient: structural results of Lazzarini ('00, 02, updated '09) (alternative results are due to Kwon-Oh '00): for instance, for generic  $J$  and  $n \geq 3$  any  $J$ -hol disk is simple or multiply covered.
- ▶ As a consequence, the technical machinery necessary to work out this case is manageable - takes about 70 pages and has been described by Biran-C. ('07)).

## D. Algebraic structures.

- ▶ Counting pearly trajectories leads to a chain complex

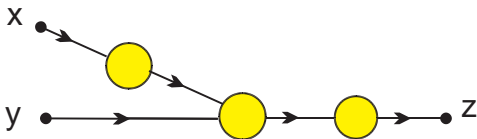
$$\mathcal{C}(L; f, J, g) = (\text{Crit}(f) \otimes \Lambda, d)$$

whose homology  $QH(L; \Lambda)$  is independent of  $J$ , the Morse function  $f$  and the R. metric on  $L$   $g$  and  $QH(L) \cong HF(L)$  (this complex was proposed by Oh, '94).

- ▶  $QH(L)$  comes with a product structure:

$$* : QH(L) \otimes QH(L) \rightarrow QH(L) .$$

Moreover,  $QH(L)$  is an algebra over  $QH(M)$  etc.



**Figure:** Configurations with two entries and one exit - as exemplified above - give the product (multiple functions are used here).

Additional useful notation:

$$\tilde{\Lambda} = \mathbf{k}[\pi_2(M, L)] , \quad \tilde{\Lambda}^+ = \mathbf{k}[\pi_2(M, L)^+]$$

where  $\pi_2(M, L)^+$  is the monoid generated by  $\{\alpha \in \pi_2(M, L) : \omega(\alpha) > 0\}$ ; the grading is  $|\alpha| = -\mu(\alpha)$ ,

### Remarks.

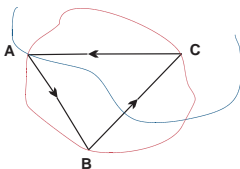
- ▶ All the structures above can be defined over the coefficient rings:  $\tilde{\Lambda}$  and  $\tilde{\Lambda}^+$  (at least when  $\mathbf{k} = \mathbb{Z}/2$ ; if  $L$  has a fixed rel. spin structure, then one can use  $\mathbf{k} = \mathbb{Z}$ ).
- ▶ The fact that one can work over  $\tilde{\Lambda}^+$  is crucial in applications as it allows for inductive arguments (note that this is *not* possible when working with Floer hlg.).
- ▶  $QH(L; \tilde{\Lambda}^+)$  is a very rich object, never vanishes and contains a lot of torsion.
- ▶ Any ring map  $\tilde{\Lambda}^+ \rightarrow \mathcal{R}$  can be used to change coefficients if convenient.

### III. Enumerative invariants.

Wide Lagrangians are good test cases for enumerative invariants:  $QH(-) \simeq H(-)$  as in the closed case; as GW invariants can be extracted from the quantum p. in the closed case, same can be expected here. *A bit naive !*

A. An example.

2-torus  $\mathbb{T} \hookrightarrow M^4$ , fixed spin str. , wide;  $J$  a.c str. (generic);  
 $\Delta = ABC$  be a triangle on  $\mathbb{T}$ ;  $n_A = \#$  of  $J$ -disks of Maslov 2 going through  $A$  and crossing (transversely) the opposite edge;  $n_\Delta = \#$  of  $J$ -disks of Maslov 4 going through  $A, B, C$  (in this order).



$$\Delta_L = n_A^2 + n_B^2 + n_C^2 - 2(n_{AB} + n_{AC} + n_{BC}) + 4n_\Delta$$

is invariant .... but  $n_A, n_B, n_C, n_\Delta$  individually are not.

## Remarks.

- ▶ In the monotone case, as there are no disks of Maslov class lower than  $N_L$ , the moduli space of disks of Maslov  $N_L$  produces, by evaluation, some obvious numerical invariants. For instance, if  $N_L = 2$  the  $\#$  of  $J$ -disks with  $\mu = 2$  through some point  $P \in L$  is independent of  $J$  and  $P$  (for generic  $J$ ). The story is sharply different for higher Maslov numbers.
- ▶ Other variants of enumerative/GW invariants in the open case (different from what will be discussed further): Welschinger ('04), (relevant related work by Solomon ('07), Ceyhan ('07)), Joyce ('08), Fukaya ('09), Iacovino ('09) (much of this in the Calabi-Yau case)....

Next: *interpret  $\Delta_L$ , why is it invariant ? why is it interesting ? are there other such polynomial expressions that are invariant ?* **NO !**

## B. Varieties of representations.

Assumption:  $L$  relatively spin (with fixed structure);  $\mathbf{k} = \mathbb{C}$ . Any morphism  $\rho : \pi_2(M, L) \rightarrow \mathbb{C}^*$  induces a ring morphism:

$$\rho' : \tilde{\Lambda}^+ \rightarrow \mathbb{C}[t], \alpha \rightarrow \rho(\alpha)t^{\mu(\alpha)/N_L}.$$

Let  $QH^\rho(L)$  be the Q.H. obtained by changing coefficients via  $\rho'$ .

$L$  is said  *$\rho$ -wide* if  $QH^\rho(L) \cong H(L; \mathbb{C}) \otimes \mathbb{C}[t]$ .

$$W(L) = \{\rho : \pi_2(M, L) \rightarrow \mathbb{C}^* : \rho \text{ group morphism}\}$$

$$W_2(L) = \{\rho \in W(L) : L \text{ is } \rho\text{-wide}\}$$

$$W_1(L) = \{\rho \in W_2(L) : \exists \hat{\rho}, \rho = \pi_2(M, L) \rightarrow \pi_1(L) \xrightarrow{\hat{\rho}} \mathbb{C}^*\}$$

### Remarks

- ▶  $W_i(L)$  are (quasi)-algebraic varieties; their properties should reflect properties of  $L$  (reminiscent of techniques in 3-dim. topology initiated by Culler- Shalen '83).
- ▶ These varieties contain an interesting integral structure (given by the integral representations & because all defining equations have integral coefficients).
- ▶ Abelian case only here...

Let  $\mathcal{O}_i = \mathcal{O}(W_i(L))$  be the ring of regular functions on  $W_i(L)$  and

$$\psi_i(L) : \tilde{\Lambda}^+ \rightarrow \mathcal{O}_i[t], \alpha \rightarrow \tilde{\alpha}, \tilde{\alpha}(\rho) = \rho(\alpha)t^{\mu(\alpha)/N_L}.$$

Denote by  $QH(L; \mathcal{O}_i[t])$  the resulting quantum homology.

### Proposition

*Any monotone Lagrangian  $L$  is  $\psi_i(L)$ -wide. In other words: there always is an isomorphism:*

$$\eta : QH(L; \mathcal{O}_i[t]) \cong H(L; \mathbb{C}) \otimes \mathcal{O}_i[t].$$

### Remarks.

- ▶ The ring  $\mathcal{O}_i[t]$  recovers much of the richness of  $\tilde{\Lambda}^+$  without the problems.
- ▶ With coefficients in  $\mathcal{O}_i[t]$ , all the further structures - quantum product, module structure over  $QH(M)$  etc - are **deformations** (of formal deformation parameter  $t$ ) of the corresponding singular structures.
- ▶ **The identification  $\eta$  above is not canonical !**

### C. Deformation point of view.

*We will drop the index  $i$ .*

Fix a basis  $\{a_r\} \in H_*(L; \mathbb{Z})$  and use

$$\eta : QH(L; \mathcal{O}[t]) \cong H(L; \mathbb{Z}) \otimes \mathcal{O}[t]$$

to write the quantum product (with coefficients in  $\mathcal{O}[t]$ ) as:

$$a_r * a_s = \sum m_l^{r,s} a_l t^{\epsilon(r,s,l)}$$

with  $\epsilon(r, s, l) = (n + |a_l| - |a_r| - |a_s|)/N_L$ ,  $m_l^{r,s} \in \mathcal{O}[t]$ .

The  $m_l^{r,s}$  are formally the analogues of the (triple) GW invariants in the closed case - *but in this case they are not invariant !* They depend on  $\eta$  and this depends on  $J$  and the rest of the data...

A natural question is: *do there exist some polynomial expressions in these coefficients that are invariant ?* We'll see: **YES, but, essentially, just one !**

Useful to rewrite the quantum product.

▶ clearly  $H(L; \mathcal{O}(L)) = H(L; \mathbb{Z}) \otimes \mathcal{O}(L)$

▶ and  $\mathcal{O}(L)[t] = \mathcal{O} \otimes \mathbb{C}[t]$

$x, y \in H(L; \mathbb{Z})$ ,

$$x *_{\eta} y = x \cdot y + \phi_1^{\eta}(x, y)t + \dots + \phi_s^{\eta}(x, y)t^s + \dots$$

Here  $\phi_k^{\eta} : H(L; \mathcal{O}(L)) \otimes H(L; \mathcal{O}(L)) \rightarrow H(L; \mathcal{O}(L))$  are bilinear maps (of the correct degree).

Thus our product becomes:

$$*_{\eta} : (H(L; \mathcal{O}(L)) \otimes \mathbb{C}[t]) \otimes (H(L; \mathcal{O}(L)) \otimes \mathbb{C}[t]) \rightarrow H(L; \mathcal{O}(L)) \otimes \mathbb{C}[t].$$

This writing depends on  $\eta = \eta_{J, f, g}$ . A different choice of data  $J', f', g'$  together with homotopies comparing  $J, f, g$  to  $J', f', g'$  produces another expression of the q. product.

Any two such products are conjugated by an isomorphism

$$\psi : H(L; \mathcal{O}(L)) \otimes \mathbb{C}[t] \rightarrow H(L; \mathcal{O}(L)) \otimes \mathbb{C}[t]$$

with

$$\psi = id + \psi_1 t + \dots \psi_k t^k + \dots .$$

In short:

- ▶ each product  $*_{\eta}$  is a deformation of the singular intersection product on  $H(L; \mathcal{O}(L))$  (with formal parameter  $t$ ).
- ▶ two such products are equivalent - again in the sense of classical deformation theory (see Gerstenhaber '64) - by an equivalence belonging to a group  $\text{Iso}^G(L)$  formed by those deformation equivalences induced by changes in data  $(J, f, g) \rightarrow (J', f', g')$ .

Remark. The group  $\text{Iso}^G(L)$  is a subgroup, possibly proper, of the group  $\text{Iso}^A(L)$  of all *algebraic* equivalences (this only depends on  $H(L; \mathcal{O}(L))$ ).

## D. Hochschild co-homology and Quadratic Forms.

$A$  associative graded, commutative algebra over a (non-graded) ring  $\mathcal{R}$ .

$$CH^{k,*}(A, A) = \text{hom}((s^1 A)^{\otimes k}, A)$$

with  $df(x_1, x_2, \dots, x_{k+1}) = x_1 f(x_2, \dots, x_{k+1}) + \dots \pm f(x_1 + \dots, x_i x_{i+1}, \dots, x_{k+1}) + \dots \pm f(x_1, \dots, x_k) x_{k+1}$ .

Hochschild cohomology of  $A$ :  $HH(A, A) = H^*(CH(A, A))$ .

A deformation  $\tilde{A}$  of  $A$  is an associative product structure on:  $A \otimes \mathbf{k}[[t]]$  so that

$$x \odot y = xy + \phi_1(x, y)t + \dots \phi_s(x, y)t^s + \dots$$

Our setting is **graded**:  $A$  is graded and we assume  $A_* = 0$  for  $* \notin \{0, \dots, n\}$ ,  $A_n$  is generated by the unit  $1 \in A_n$  and  $t$  is of even degree  $-N$  ( $N \geq 2$ ).

Let  $\mathcal{A}lg(A, N) =$  set of assoc. deformations of  $A$  of formal parameter of degree  $-N$ ;  $\text{Iso}^A(A) =$  set of equivalences of such deformations.

By results of Gerstenhaber, the first  $\phi_r \neq 0$ , is a HH cycle. and its class  $[\phi_k] \in HH^{2, kN-2}(A, A)$  “provides” (with some technical nuances) a map:

$$\Phi_A : \mathcal{A}lg(A, N)/\text{Iso}^A(A) \rightarrow HH^2(A, A) , \tilde{A} \rightarrow [\phi_k] .$$

Let  $Q^2(N, \mathcal{R})$  be the  $\mathcal{R}$ -valued quadratic forms defined on  $N$ .

Let  $V \subset A_{n-\frac{kN}{2}}$  be an  $\mathcal{R}$ -submodule so that  $\forall v \in V, v^2 = 0$ . Set

$$\Psi_V : HH^{2, kN}(A, A) \rightarrow Q^2(V, \mathcal{R}) , \Psi_V(\phi)(x) = \langle 1^*, \phi(x, x) \rangle$$

where  $\phi : A \otimes A \rightarrow \mathcal{R}$  is of degree  $kN$ ;  $1^* \in A^*$  is the hom-dual of 1;  $\langle -, - \rangle$  is the evaluation.

Indeed, if  $\phi' \sim \phi$ , let  $df = \phi - \phi'$  and

$$\langle 1^*, \phi(x, x) - \phi'(x, x) \rangle = \langle 1^*, f(x) \cdot x - f(x \cdot x) + x \cdot f(x) \rangle = 0.$$

In short: There exists a “secondary squaring” map:

$$sq_V : \mathcal{Alg}(A, N)/\text{Iso}^A(A) \rightarrow Q^2(V, \mathcal{R}) , \quad sq_V = \Psi_V \circ \Phi_A .$$

Remarks.

- ▶ For instance, we may take  $V = A_{n-s}$  whenever  $s$  is odd of the form  $kN/2$  (of course, this requires that both  $N/2$  and  $k$  be odd). We denote the resulting squaring operation by  $sq_s$ .
- ▶ The operation  $sq_1$  is always defined (any deformation of formal deformation parameter of even degree  $-N$  can be also seen as a deformation of formal def. parameter of degree  $-2$ ).

### E. Back to Lagrangians.

We apply the secondary squaring discussed before to the algebra  $A = H(L; \mathcal{O}(L))$  and the deformation  $\tilde{A}^\eta(L)$  given by the q. p. on  $QH(L; \mathcal{O}(L))$  together with the isomorphism  $\eta; \mathcal{R} = \mathcal{O}(L); N = N_L$ .

- ▶ For a fixed monotone Lagrangian  $L$  and any  $V \subset H_{n - \frac{kN_L}{2}}(L; \mathcal{O}(L))$  so that  $\forall v \in V, v \cdot v = 0$ , the form  $sq_V(L) \in Q^2(V, \mathcal{O}(L)), sq_V(L) = sq_V(\tilde{A}^\eta(L))$  is well defined and independent of  $\eta$ .
- ▶ For a fixed  $N = 4r + 2$  and every monotone Lagrangian  $L$  with  $N_L = N$  the quadratic forms  $sq_s(L)$  (for  $s$  an odd multiple of  $2r + 1$ ) verify the same properties.
- ▶ For every monotone Lagrangian the quadratic form  $sq_1(L)$  is well-defined and invariant. This form only depends on the HHlgy class  $\tilde{L} = \Phi(q.p.)$  associated to  $QH(L; \mathcal{O}(L))$ . It reduces to the form  $x \rightarrow \langle [L]^*, x * x \rangle, \forall x \in H_{n-1}(L)$ .

It is not difficult to show that:

- ▶ In certain cases ( $A = H(T^n; \mathbb{C})$  for instance) the map

$$sq_1 : \mathcal{A}lg(A, 2)/\text{Iso}^A(A) \rightarrow HH^2(A, A) \rightarrow Q(A_{n-1}, \mathcal{R})$$

is injective.

- ▶ There are tori (Clifford in  $\mathbb{C}P^2$  for instance) so that  $\text{Iso}^A(L) = \text{Iso}^G(L)$ .

Denote by  $\tilde{\Delta}_L = \text{discr}(sq_1(L)) \in \mathcal{O}$ ;  $\Delta_L = \tilde{\Delta}_L|_{tr}$  (if defined).

## Corollary

*Any enumerative invariant that:*

- ▶ *is defined for all monotone Lagrangians which are wide with respect to the trivial representation;*
- ▶ *is polynomial in the coefficients of the quantum product (for the trivial representation);*
- ▶ *is integral;*

*coincides with (a multiple of)  $\Delta_L$ .*

The reason for uniqueness is that such an invariant has to be read off the coefficients of  $sq_1|_{tr}$ . By work of D. Hilbert 1900, the only invariant polynomial in the coefficients of an integral quadratic form (up to multiples) is the discriminant.

With some work,  $\Delta_L = \tilde{\Delta}_L|_{tr}$  is shown to coincide with the  $\Delta_L$  associated to triangles...

### Remarks

- ▶ For a fixed  $L$  the discriminant  $\tilde{\Delta}_L \in \mathcal{O}(L)$  is an interesting object in itself as we shall see.
- ▶ The quadratic form  $sq_1(L)|_{tr}$  also appears in a somewhat different context in work of Cho, '05 (who also did pioneering work in studying various toric fibers).

## F.Free loop spaces.

Fix  $L$  monotone and  $J$  a.c.s. To simplify assume  $N_L = 2$ . Let  $\mathcal{M}(2, J)$  be the moduli space of  $J$ -disks  $u$  with one marked point and  $\mu(u) = 2$ . Denote by  $\Lambda L$  the free loop space of  $L$  and denote by  $C(L)$  the singular chains on  $L$ . There exists a representation:

$$\rho_J : \mathcal{M}(2, J) \rightarrow \Lambda L \Rightarrow \alpha_J \in H_n(\Lambda L; \mathbb{Z})$$

There exists a map constructed by Jones '87:

$$J : H_*(\Lambda L) \rightarrow HH^{\bullet, * - n}(C(L), C(L))$$

In favorable cases (for tori in particular)

$HH^{s, *}(C(L), C(L)) \cong HH^{s, *}(H(L; \mathbb{Z}), H(L; \mathbb{Z}))$  and then for wide|<sub>tr</sub>  
tori

$$J(\alpha_J)|_{HH^{2, *}(H(L; \mathbb{Z}), H(L; \mathbb{Z}))} = \tilde{L}|_{tr}$$

Thus the loop representation suffices to determine  $sq_1(L)|_{tr}$ .

### Remarks.

- ▶ There is related work of Fukaya '04.
- ▶ Jones' map can be enriched by the coefficients  $\mathcal{O}_i(L)$  and the result extends to this situation as well as to  $N_L > 2$ .

### G. Toric fibers.

We will assume that  $L = T^n$  is a toric fiber, not necessarily monotone but in a Fano manifold.

No disks of Maslov class lower than 2 exist - by Cho - Oh '03 - so that the machinery described above can be applied. Thus  $N_L = 2$ ;  $J$  is the standard a.c. structure (or close to it).

Fix a basis  $e_i$  of  $\pi_1(L) \otimes \mathbb{C}$ . The Landau-Ginzburg superpotential:

$$\xi_L(z_1, \dots, z_n) = \sum_{\alpha} n(\alpha) z_1^{p_1} \dots z_n^{p_n}$$

is defined by:  $\alpha = \sum p_i e_i \in \pi_1(L) \otimes \mathbb{C}$  and  $n(\alpha) = \#$  of  $J$ -disks of Maslov 2 in the class  $\alpha$ .

Easy to see:

- ▶  $W_1(L) = \text{Crit}(\xi_L)$
- ▶  $sq_1(L)$  is the quadratic form associated to the symmetric bilinear form  $(z_1 \dots z_n)^2 \text{Hess}(\xi_L)$ ;  
 $\tilde{\Delta}_L = (z_1 \dots z_n)^2 \det(\text{Hess}(\xi_L))$ .

Due to Batyrev '93, Givental and Fukaya-Oh-Ohta-Ono '08 there is an isomorphism:

$$I : QH(M; \Lambda) \rightarrow \text{Jac}(\xi_L) \otimes \Lambda = \mathcal{O}_1(L) \otimes \Lambda$$

( $\text{Jac}(-)$  is the Jacobian ring). Harder to see (and a number of technical points still left to check):

- ▶  $I(PD(e_Q)) = -\tilde{\Delta}_L t^n$

where  $e_Q$  is the Euler quantum class of Abrams '97.

Remark. There is a closely related result announced by Fukaya '08 - it does not involve  $\Delta_L$  but seems to be roughly equivalent to our statement. The proof appears to be somewhat similar.